

A Three-Component Catalyst-Free Approach to Regioselective Synthesis of Dual Highly Functionalized Fused Pyrrole Derivatives in Water–Ethanol Media: Thermodynamics versus Kinetics

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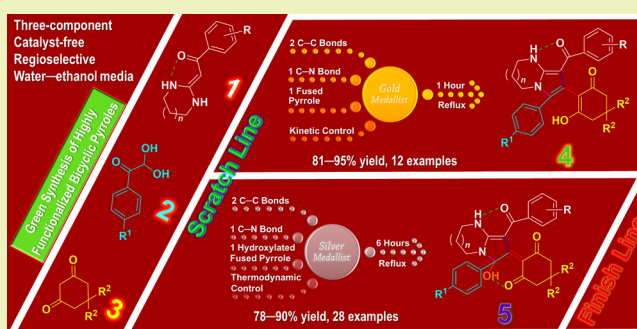
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S Supporting Information

ABSTRACT: A three-component catalyst-free protocol for the regioselective synthesis of dual highly functionalized fused pyrroles has been developed from a cascade [3 + 2] cyclization of heterocyclic ketene aminals (HKAs) **1** with arylglyoxal monohydrates **2** and cyclohexane-1,3-diones **3** in water–ethanol media. The kinetically controlled products **4** could be synthesized within 1 h but would irreversibly transform to thermodynamically controlled products **5** over an additional 5 h. At the same time, the transformative synthesis of **5a** from **4a** by controlling the oxygen or nitrogen proved the proposed mechanism. Furthermore, the DFT calculation also corroborated that the stability of products **5** are a 100,000 times more thermodynamically stable than products **4**. Finally, the origin of the greater stability of **5** could be explained by the reduced density gradient (RDG) analysis, which hinted that the crucial factors are the formation of a new intramolecular hydrogen bond and the release of the steric effect of the crowded rings. In conclusion, this novel synthetic strategy offers an alternative method using thermodynamic or kinetic control for regioselective construction of biologically meaningful fused pyrrole architectures from a concise, rapid, and environmentally friendly vision.

KEYWORDS: Green and sustainable chemistry, Multicomponent reactions, Catalyst-free, Water–ethanol media, Regioselective synthesis, Fused pyrroles, Heterocyclic ketene aminals, Thermodynamic, Kinetic



INTRODUCTION

Green chemistry or sustainable chemistry is defined by the U.S. Environmental Protection Agency (EPA) as “the design of chemical products and processes that reduce or eliminate the use or generation of hazardous substances”.^{1–3} In recent years, the most pivotal area in green synthesis has been fostered by the search for environmentally benign reaction media to replace commonly used organic solvents in chemical processes^{4–6} or designing one-pot reactions to construct diverse molecular libraries.^{7,8} Toward fulfilling these goals, multicomponent reactions (MCRs)^{9–14} can be recognized as one of the most direct, effective, and rapid methods for greener organic synthesis.

MCRs are synthetically useful and unique organic reactions where at least three polyfunctional raw materials combine and react in an ordered fashion to give a final product via a one-pot procedure. With its inherent characteristics,¹⁵ i.e., chemo- and regioselectivity, atom economy, step efficiency, diversity, and operational simplicity, etc. in an eco-compatible chemistry, it has therefore become an essential tool for generating complex molecular libraries in the screening of potential biologically and pharmacologically active candidates. However, developing

novel MCRs with advantages both from the perspective of sustainable chemistry and synthetic chemistry is still in a burgeoning phase.

Pyrroles are simple heterocycles that are frequently found in a broad range of natural products and pharmaceuticals;^{16–18} in particular, polysubstituted fused pyrroles (PSFPs) are of great interest not only for the inauguration of novel synthetic methodologies but also for developing reagents for medical treatment. It has been demonstrated that PSFPs possess wide-spectrum pharmacological activities (Figure 1), including inhibition of enzymes^{19–23} and receptors^{24–26} as well as antiviral,^{27,28} antibacterial,²⁹ antitumor,^{30–33} anti-inflammatory,³⁴ antiprotozoal,³⁵ antimicrobial,³⁶ and antifungal activities.³⁷

To date, several approaches have been explored for the construction of pyrrole derivatives based on the MCRs.³⁸ There are a few reported methods^{39–46} that meet the principles of green chemistry, but the majority are accompanied by

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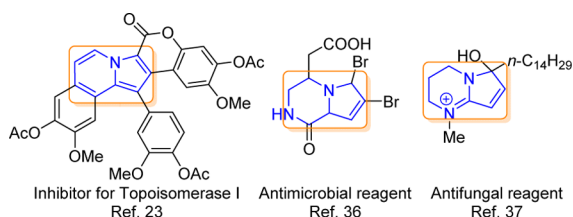


Figure 1. Polysubstituted fused pyrroles (PSFPs) as reagents for medical treatment.

shortcomings, viz., stoichiometric catalysts, precious metals, and toxic solvents. Unquestionably, the outcome of these unsustainable procedures produces large amounts of waste and greatly restricts practical applications.

As a group of versatile and powerful building blocks, heterocyclic ketene aminals (HKAs)^{47–49} have been widely used for concise access to many pharmacologically interesting heterocyclic and fused heterocyclic compounds by employing MCRs.^{50–54} Compared with classical enamines,^{55–58} unique structural features, such as the highly polarized C=C bond, gem-diamino group, intramolecular hydrogen bond, and their additional aromatic substituent endow HKAs with special meaning for chemo-, regio-, and stereoselective construction of biologically active fused heterocycles in a sustainable manner. So far, a number of new natural product-like heterocycles have been successfully developed based on HKAs, but even so, their synthetic methodology and its associated medicinal activity relationship should engage the attention of the chemistry community.

To the best of our knowledge, the multicomponent synthesis of *N*-bridgeheaded fused pyrroles via a catalyst-free tandem reaction in water–ethanol media has not been explored. For the purpose of continuing our previous endeavors in the fabrication of aza heterocycles,^{59–64} we propose here a [3 + 2] cyclization reaction of 1,3-bidonors **1** (HKAs) with 1,2-biacceptors **2** (arylglyoxal monohydrates) and monodonors **3** (cyclohexane-1,3-diones) for the regioselective synthesis of two types of bicyclic pyrrole derivatives **4** and **5** in high yield via a one-pot, catalyst-free, thermodynamics versus kinetics protocol (Scheme 1). This approach offers an alternative method to regioselective construction of fused pyrrole architectures with potential biological activities based on a concise, rapid, and environmentally friendly vision.

EXPERIMENTAL SECTION

Computational Methods. All calculations were performed with the Gaussian 03 program.⁶⁵ The DFT calculations of all geometries were carried out with the B3LYP functional^{66–68} and the 6-311+G(d,p) basis set.⁶⁹ The default self-consistent reaction field (SCRF) polarizable continuum model (PCM) was used with water as

the solvent (dielectric constant $\epsilon = 78.3553$), while UFF radii were chosen as the atomic radii to define the molecular cavity. Frequency calculations were also employed to evaluate the structures as minimum points (no imaginary frequencies) in energy and to achieve the relevant zero-point and thermal corrections to the electronic energies in the liquid phase. The values of Gibbs free energies (G) are shown in kcal mol⁻¹. The grid data for reduced density gradient (RDG) analysis⁷⁰ was generated via the Multiwfn program⁷¹ and visualized with VMD software.⁷²

General Methods. All commercially available reagents were purchased from Adamas Reagent Co., Ltd. and used without further purification unless otherwise stated. Melting points were determined on a XT-4A melting point apparatus and are uncorrected. NMR spectra were recorded on a Bruker DRX500 (¹H, 500 MHz; ¹³C, 125 MHz) or a DRX400 (¹H, 400 MHz; ¹³C, 100 MHz) with CDCl₃ and DMSO-*d*₆ as the solvents. The chemical shifts (δ) are expressed in parts per million relative to the residual deuterated solvent signal, and coupling constants (J) are given in Hertz. IR spectra were recorded on a FT-IR Thermo Nicolet Avatar 360 using KBr pellets. HRMS (ESI) was performed on an Agilent LC/Msd TOF instrument, and the data were obtained in the electron impact (EI) mode at 70 eV.

Noncommercially Available Compounds. Synthesis of HKAs **1**. This series of compounds was prepared according to a procedure described in the literature.⁵⁰ The identity of the materials was confirmed by ¹H and ¹³C NMR and by their MS spectra.

General Procedure for the Synthesis of Compounds 4. HKAs **1** (1 mmol), arylglyoxal monohydrates **2** (1.1 mmol), and cyclohexane-1,3-diones **3** (1.1 mmol) were dissolved in the mixed solvent H₂O/EtOH (16 mL, 3:1, v/v), and then the mixture was refluxed in a round-bottomed flask (25 mL). After the HKAs **1** was completely consumed (about 1 h) as indicated by TLC, a yellow precipitate formed. Subsequently, the resulting mixture was cooled to room temperature and filtered through a Buchner funnel. The precipitate was washed successively with a small amount of cold ethanol (about 5 mL) to afford the pure products **4**.

General Procedure for the Synthesis of Compounds 5. HKAs **1** (1 mmol), arylglyoxal monohydrates **2** (1.1 mmol), and cyclohexane-1,3-diones **3** (1.1 mmol) were dissolved in the mixed solvent H₂O/EtOH (16 mL, 3:1, v/v), and then the mixture was refluxed in a round-bottomed flask (25 mL). After the HKAs **1** was completely consumed (about 6 h) as indicated by TLC, a yellow solution formed. Then, the resulting solution was cooled to room temperature and diluted with water (50 mL). Afterward, the mixture was extracted with EtOAc (50 mL × 2). The combined organic extracts were dried over anhydrous Na₂SO₄ and evaporated in vacuo. The pure products **5** were isolated by flash column chromatography of the residue obtained by evaporation of the filtrate on silica gel (200–300 mesh) with petroleum ether–EtOAc (1:2, v/v) as the eluent.

General Procedure for the Transformative Synthesis of Compounds 5 from 4. **4** (1 mmol) was dissolved in the mixed solvent H₂O/EtOH (16 mL, 3:1, v/v), and then the mixture was refluxed in a round-bottomed flask (25 mL). After **4** was completely consumed (about 24 h) as indicated by TLC, a yellow solution formed. Then, the resulting solution was cooled to room temperature and diluted with water (50 mL). Afterward, the mixture was extracted with EtOAc (50 mL × 2). The combined organic extracts were dried

Scheme 1. General Strategy for Cascade Synthesis of Target Compounds **4** and **5**

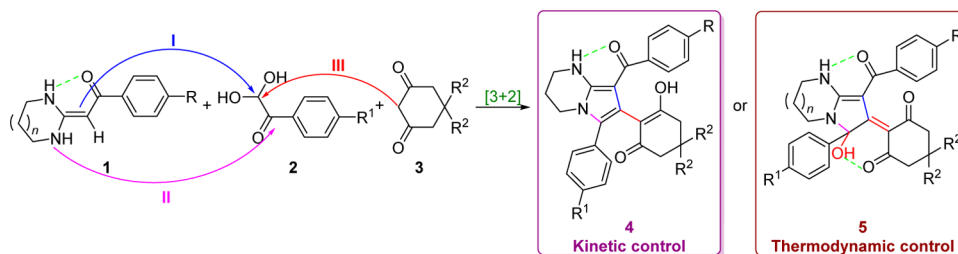
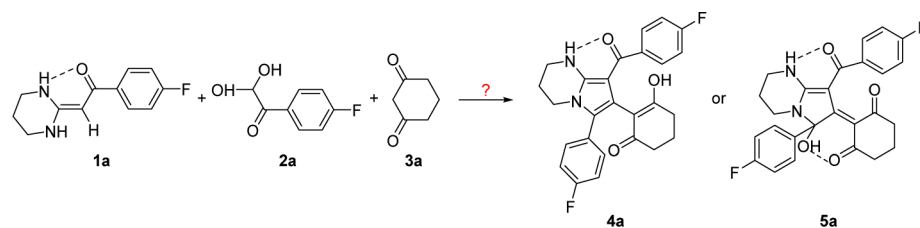


Table 1. Screening Optimum Reaction Conditions^a

| entry | solvent | catalyst | T (°C) | t (h) | yield ^b (%) | |
|-------|----------------------------------|--------------------------------|--------|-------|------------------------|-------|
| | | | | | 4a | 5a |
| 1 | EtOH | – | r.t. | 6 | trace | N.R. |
| 2 | EtOH | – | reflux | 1 | 90 | trace |
| 3 | EtOH | – | reflux | 6 | N.R. | 84 |
| 4 | EtOH | Et ₃ N | reflux | 1 | 81 | 5 |
| 5 | EtOH | Et ₃ N | reflux | 6 | 2 | 74 |
| 6 | EtOH | K ₂ CO ₃ | reflux | 1 | 14 | 2 |
| 7 | EtOH | K ₂ CO ₃ | reflux | 6 | N.R. | 44 |
| 8 | EtOH | HOAc | reflux | 1 | 20 | 45 |
| 9 | EtOH | HOAc | reflux | 6 | N.R. | 52 |
| 10 | CH ₃ CN | – | reflux | 6 | N.R. | 56 |
| 11 | CH ₃ CN | Et ₃ N | reflux | 6 | N.R. | 57 |
| 12 | CH ₃ CN | K ₂ CO ₃ | reflux | 6 | N.R. | 47 |
| 13 | CH ₃ CN | HOAc | reflux | 6 | N.R. | 53 |
| 14 | 1,4-dioxane | – | reflux | 6 | N.R. | 89 |
| 15 | 1,4-dioxane | Et ₃ N | reflux | 6 | N.R. | 89 |
| 16 | 1,4-dioxane | K ₂ CO ₃ | reflux | 6 | N.R. | 83 |
| 17 | 1,4-dioxane | HOAc | reflux | 6 | N.R. | 85 |
| 18 | THF | – | reflux | 6 | N.R. | 84 |
| 19 | THF | Et ₃ N | reflux | 6 | N.R. | 85 |
| 20 | THF | K ₂ CO ₃ | reflux | 6 | N.R. | 85 |
| 21 | THF | HOAc | reflux | 6 | N.R. | 79 |
| 22 | H ₂ O | – | reflux | 24 | N.R. | N.R. |
| 23 | H ₂ O | Et ₃ N | reflux | 24 | 12 | N.R. |
| 24 | H ₂ O | K ₂ CO ₃ | reflux | 24 | N.R. | 5 |
| 25 | H ₂ O | HOAc | reflux | 24 | trace | 14 |
| 26 | H ₂ O/EtOH (2:1, v-v) | – | reflux | 1 | 91 | trace |
| 27 | H ₂ O/EtOH (2:1, v-v) | – | reflux | 6 | trace | 84 |
| 28 | H ₂ O/EtOH (3:1, v-v) | – | reflux | 1 | 93 | trace |
| 29 | H ₂ O/EtOH (3:1, v-v) | – | reflux | 6 | trace | 87 |

^aReactions (entries 1–13) were carried out using **1a** (1.0 mmol), **2a** (1.1 mmol), **3a** (1.1 mmol), and solvent (16 mL). ^bIsolated yield based on HKA **1a**, N.R. = no reaction.

over anhydrous Na₂SO₄ and evaporated in vacuo. The pure products **5** were isolated by flash column chromatography of the residue obtained by evaporation of the filtrate on silica gel (200–300 mesh) with petroleum ether–EtOAc (1:2, v/v) as the eluent.

RESULTS AND DISCUSSION

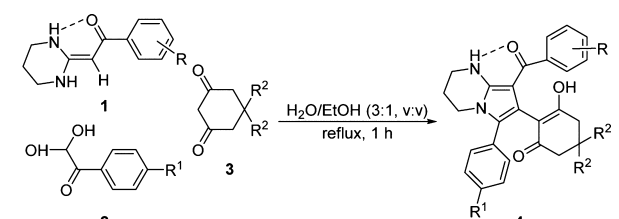
Initially, the reaction of HKA (**1a**) with 1-(4-fluorophenyl)-2,2-dihydroxyethan-1-one (**2a**) and cyclohexane-1,3-dione (**3a**) was chosen as a model for screening optimum reaction conditions (Table 1). The screening began by evaluating the reaction in the absence of any catalyst in anhydrous ethanol at room temperature; no transformation occurred within 6 h (Table 1, entry 1). Nevertheless, when the reaction was performed at reflux for 1 h, compound **4a** precipitated from the solvent and was obtained in 90% yield (Table 1, entry 2). Thereafter, we tried to completely consume the starting materials, which prolonged the time of the reaction by many hours; yet a surprising result came about, i.e., compound **4a** gradually transformed to **5a** with a yield of 84% (Table 1, entry

3). In order to efficiently obtain a sort of unitary final product, other attempts were also made. For instance, three kinds of catalyst (basic and acidic), i.e., Et₃N, K₂CO₃, and HAc, were individually added to the original mixture (Table 1, entries 4–9). However, the yields of **4a** and **5a** were sacrificed, and likewise, the vying preference for the formation of **4a** or **5a** was unsatisfied. Obviously, the presence of catalysts could not efficiently promote the reaction in a chemoselective or regioselective way. Subsequently, several aprotic solvents (CH₃CN, 1,4-dioxane, and THF) were employed as reaction media (Table 1, entries 10–21). Unluckily, because of the excellent solubility of the **4a**, the corresponding target material could not be separated from the mother liquid. Thus, the use of an aprotic solvent offered a good medium for selectively obtaining the product **5a**. Of course, a greener solvent water was also considered. Unfortunately, the yields of products **4a** and **5a** were not ideal even under catalytic conditions (Table 1, entries 22–25). Interestingly, when anhydrous ethanol was diluted with two or three portions of water (Table 1, entries

26–29), and especially when the volume ratio of H₂O/EtOH was changed to 3:1 as a mixed solvent, the yields of **4a** and **5a** were increased to 93% and 87%, respectively (Table 1, entries 28 and 29). Therefore, it can be concluded that the optimum reaction conditions for the preparation of **4a** or **5a** were catalyst-free, H₂O/EtOH (3:1, v/v) as the medium, and reflux for 1 or 6 h.

Having established the optimum reaction conditions, the substrate scope of our protocol was tested. The results are presented in Tables 2 and 3. In general, the synthesis of

Table 2. One-Pot Protocol for Regioselective Synthesis of Fused Pyrrole Derivatives 4^a



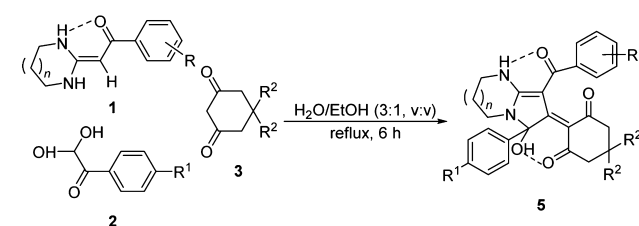
| entry | 1 (R) | 2 (R ¹) | 3 (R ²) | 4 | yield ^b (%) |
|-------|--------------------|---------------------|-----------------------|----|------------------------|
| 1 | 1a (<i>p</i> -F) | 2a (F) | 3a (H) | 4a | 93 |
| 2 | 1a (<i>p</i> -F) | 2b (H) | 3a (H) | 4b | 91 |
| 3 | 1a (<i>p</i> -F) | 2b (H) | 3b (CH ₃) | 4c | 87 |
| 4 | 1b (<i>o</i> -F) | 2a (F) | 3a (H) | 4d | 95 |
| 5 | 1b (<i>o</i> -F) | 2b (H) | 3a (H) | 4e | 95 |
| 6 | 1b (<i>o</i> -F) | 2a (F) | 3b (CH ₃) | 4f | 90 |
| 7 | 1b (<i>o</i> -F) | 2b (H) | 3b (CH ₃) | 4g | 83 |
| 8 | 1c (<i>o</i> -Cl) | 2a (F) | 3a (H) | 4h | 93 |
| 9 | 1c (<i>o</i> -Cl) | 2b (H) | 3a (H) | 4i | 92 |
| 10 | 1c (<i>o</i> -Cl) | 2a (F) | 3b (CH ₃) | 4j | 85 |
| 11 | 1c (<i>o</i> -Cl) | 2b (H) | 3b (CH ₃) | 4k | 81 |
| 12 | 1d (<i>p</i> -H) | 2a (F) | 3a (H) | 4l | 81 |

^aAll reactions were run under the following conditions: **1** (1.0 mmol), **2** (1.1 mmol), and **3** (1.1 mmol) were dissolved in the mixed solvent H₂O/EtOH (16 mL, 3:1, v/v), and then the reaction was carried out under reflux for 1 h. ^bIsolated yield based on HKAs **1**.

compounds **4** was rapidly finished within 1 h in all cases, but the final products **4** could only be synthesized from HKAs **1** that contained substituents (R) of *ortho*-fluoro, *ortho*-chloro, and *para*-fluoro. The main reason was the excellent solubility of the products when R was substituted with methyl, methoxy, or hydrogen, which made it difficult to separate out solids even in an ice bath. Hence, it was necessary to purify these products by column chromatography or recrystallization. Unfortunately, compounds **4** were all converted to compounds **5** during the course of purification. Hitherto, a small library of fused pyrroles was readily achieved in one-pot via a kinetically controlled reaction (Table 2).

Having completed the synthesis of compounds **4**, we turned our attention to investigating the three-component domino reaction for preparing the other target materials **5**. In the beginning, the substrate scope of **2** and **3** were tested. The results showed that the reaction is tolerated when **2** bear an electron-withdrawing group (R¹ = F) and **3** have a gem-dimethyl group (R² = CH₃) (e.g., Table 3, entries 1–4). It was also observed that the substituents (R¹ and R²) on substrates **2** and **3** had some influence on the yields of **5**. That is, the R¹ group, which was substituted by fluorine (R¹ = F), afforded higher yields than those with no substitutions (R¹ = H) (e.g.,

Table 3. One-Pot Protocol for Regioselective Synthesis of Hydroxylated Fused Pyrrole Derivatives 5^a



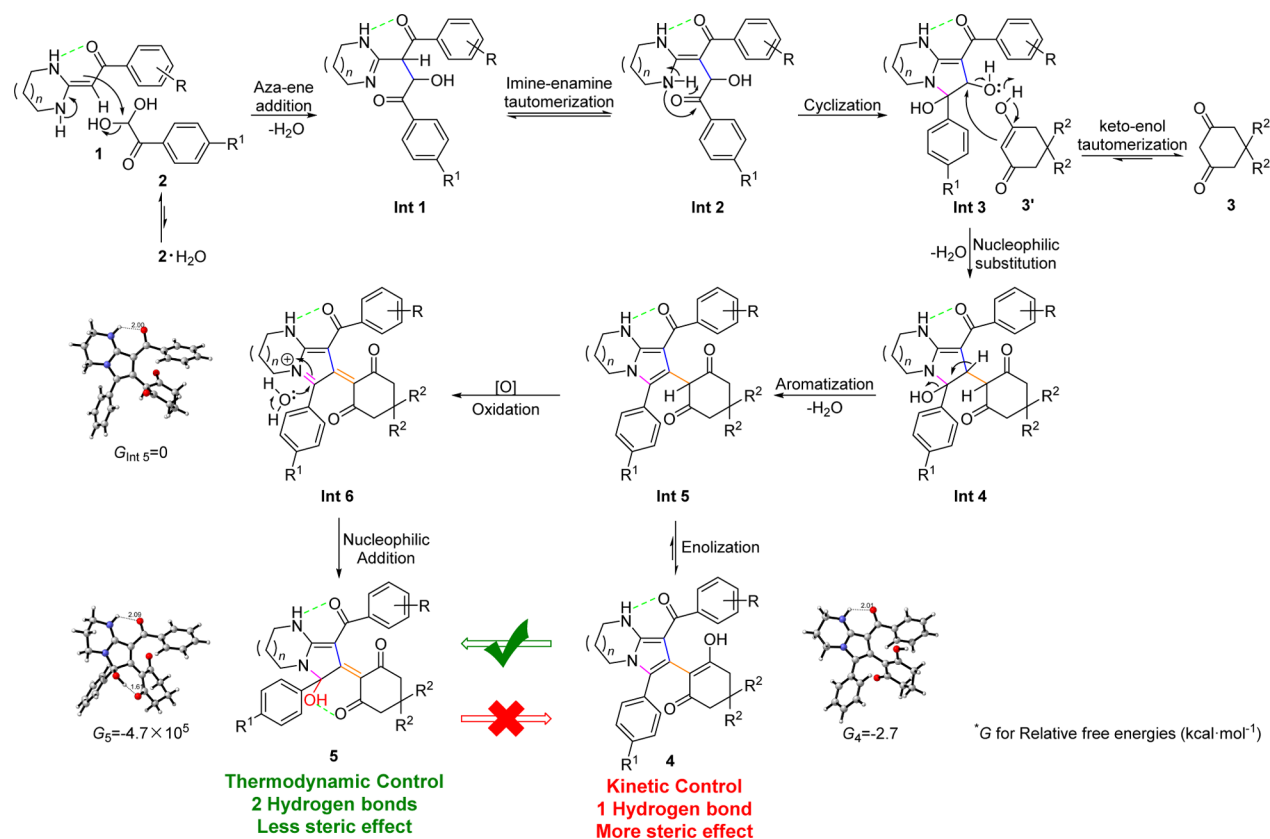
| entry | 1 ^b (R) | 2 (R ¹) | 3 (R ²) | 5 | yield ^c (%) |
|-------|-----------------------------------|---------------------|-----------------------|------|------------------------|
| 1 | 1a (<i>p</i> -F) | 2a (F) | 3a (H) | 5a | 87 |
| 2 | 1a (<i>p</i> -F) | 2b (H) | 3a (H) | 5b | 86 |
| 3 | 1a (<i>p</i> -F) | 2a (F) | 3b (CH ₃) | 5c | 83 |
| 4 | 1a (<i>p</i> -F) | 2b (H) | 3b (CH ₃) | 5d | 81 |
| 5 | 1b (<i>o</i> -F) | 2a (F) | 3a (H) | 5e | 90 |
| 6 | 1b (<i>o</i> -F) | 2b (H) | 3a (H) | 5f | 90 |
| 7 | 1b (<i>o</i> -F) | 2a (F) | 3b (CH ₃) | 5g | 84 |
| 8 | 1b (<i>o</i> -F) | 2b (H) | 3b (CH ₃) | 5h | 82 |
| 9 | 1c (<i>p</i> -Cl) | 2a (F) | 3a (H) | 5i | 85 |
| 10 | 1c (<i>p</i> -Cl) | 2b (H) | 3a (H) | 5j | 85 |
| 11 | 1c (<i>p</i> -Cl) | 2a (F) | 3b (CH ₃) | 5k | 81 |
| 12 | 1c (<i>p</i> -Cl) | 2b (H) | 3b (CH ₃) | 5l | 79 |
| 13 | 1d (<i>o</i> -Cl) | 2b (H) | 3a (H) | 5m | 83 |
| 14 | 1d (<i>o</i> -Cl) | 2a (F) | 3b (CH ₃) | 5n | 80 |
| 15 | 1d (<i>o</i> -Cl) | 2b (H) | 3b (CH ₃) | 5o | 79 |
| 16 | 1e (<i>p</i> -H) | 2a (F) | 3a (H) | 5p | 85 |
| 17 | 1e (<i>p</i> -H) | 2b (H) | 3a (H) | 5q | 85 |
| 18 | 1e (<i>p</i> -H) | 2a (F) | 3b (CH ₃) | 5r | 81 |
| 19 | 1e (<i>p</i> -H) | 2b (H) | 3b (CH ₃) | 5s | 82 |
| 20 | 1f (<i>p</i> -CH ₃) | 2a (F) | 3a (H) | 5t | 84 |
| 21 | 1f (<i>p</i> -CH ₃) | 2b (H) | 3a (H) | 5u | 81 |
| 22 | 1f (<i>p</i> -CH ₃) | 2a (F) | 3b (CH ₃) | 5v | 81 |
| 23 | 1f (<i>p</i> -CH ₃) | 2b (H) | 3b (CH ₃) | 5w | 79 |
| 24 | 1g (<i>p</i> -OCH ₃) | 2a (F) | 3a (H) | 5x | 82 |
| 25 | 1g (<i>p</i> -OCH ₃) | 2b (H) | 3a (H) | 5y | 79 |
| 26 | 1g (<i>p</i> -OCH ₃) | 2a (F) | 3b (CH ₃) | 5z | 80 |
| 27 | 1g (<i>p</i> -OCH ₃) | 2b (H) | 3b (CH ₃) | 5a' | 78 |
| 28 | 1h (<i>p</i> -CH ₃) | 2b (H) | 3a (H) | 5a'' | 85 |

^aAll reactions were run under the following conditions: **1** (1.0 mmol), **2** (1.1 mmol), and **3** (1.1 mmol) were dissolved in the mixed solvent H₂O/EtOH (16 mL, 3:1, v/v), and then the reaction was carried out under reflux for 6 h. ^bFor HKA **1h**, *n* = 2; for the others, *n* = 1. ^cIsolated yield based on HKAs **1**.

Table 3, entries 1 and 2), and the R² groups, which were substituted by methyl (R² = CH₃), gave lower yields (e.g., Table 3, entries 1 and 3). Next, the scope of the reaction was also extended by using other HKAs **1**. Evidently, the electronic nature of the aromatic rings of **1** significantly impacted the yield of the reaction. Namely, the R group, which was an electron-withdrawing group (e.g., R = F or Cl), could encourage the yields of **5** (e.g., Table 3, entries 1, 9, 20, and 24). In addition, HKAs with substituents at the *ortho*-position afforded higher yields than their counterparts at the *para*-position (e.g., Table 3, entries 1 and 5). Furthermore, the seven-membered HKA **1h** was also employed and gave product **5a''** with higher yield than the corresponding six-membered product **5t** (Table 3, entries 20 and 28). Overall, the hydroxylated fused pyrroles **5** were slowly formed in one-pot via a thermodynamically controlled reaction (Table 3).

The chemical structures of target compounds **4** and **5** were fully characterized by IR, ¹H NMR, ¹³C NMR, and HRMS

Scheme 2. Mechanism Hypotheses for Synthesis of Target Compounds 4 and 5



spectroscopy, while products **4l** and **5a** were unequivocally confirmed by X-ray diffraction analysis of two selected single crystals (CCDC 968949 and 961278) (Figures S81 and S82, Supporting Information).

The mechanism hypotheses for the dual regioselective reactions are proposed and illustrated in Scheme 2. The HKA **1**, with a strong electron-withdrawing keto-carbonyl group at the α -position and an electron-donating gem-diamino group on the diazaheterocycle, can serve as a heteroene component reacting with the tertiary carbon of **2** from **Int 1** via an aza-ene reaction⁷³ accompanying the creation of a C–C bond. Thereafter, **Int 1** tautomerizes to **Int 2** by an imine-enamine process,⁷⁴ and then the NH group attacks the intramolecular carbonyl group to afford **Int 3**. Subsequently, **Int 4** is generated through the addition of **3'** to **Int 3** and the elimination of one water molecule. Hitherto, two C–C bonds and one C–N bond are formed. Afterward, aromatization occurs and provides **Int 5**. As a road sign, the **Int 5** not only rapidly transform into one of the target materials **4** (**Int 5** \rightarrow **4**) via the enolization but also slowly translate to the other hydroxylated target materials **5** (**Int 5** \rightarrow **5**) via a tandem sequence, i.e., the oxidation of **Int 5** and the nucleophilic addition of one water molecule to **Int 6**. On the basis of these mechanistic deductions, we can anticipate that target compounds **4** and **5** are the kinetically and thermodynamically controlled results, respectively.

For the sake of proving the proposed mechanism and explaining the relatively high stability of the thermodynamically favored compounds **5**, product **4a** was chosen as the representative and refluxed for 24 h in the same medium (H₂O:EtOH, 3:1, v/v). To our delight, the transformation of compound **4a** to **5a** was successful (Table 4, entry 1).

Table 4. Transformative Synthesis of **5a** from **4a**^a

| entry | <i>p</i> (atm) | yield (%) |
|-------|--------------------|-----------|
| 1 | air (1) | 95 |
| 2 | N ₂ (1) | N.R. |
| 3 | O ₂ (1) | 97 |

^aAll reactions were run under the following conditions: **4a** (1.0 mmol) was dissolved in the mixed solvent H₂O/EtOH (16 mL, 3:1, v/v), and then the reaction was carried out under reflux for 24 h. ^bN.R. = no reaction.

Contrasting the ¹³C NMR spectra (Figure 2) between **4a** and **5a** revealed that once the transformation occurred, the chemical shifts of C3 and C5 move to the low field region and, on the contrary, C4 moves to the high field region. This alteration in characteristic peaks could be explained by mesomeric effects: (i) The newly generated π -acceptor (C=O) causes a low-field shift in both the C3 and C5 positions. (ii) The novel σ -donor (OH) leads to C4 being better shielded; hence, the resonance signal lies in a higher field.

Additionally, the transformative synthesis of **5a** from **4a** under pure oxygen or nitrogen atmospheres was also performed (Table 4, entries 2 and 3). The process was obviously accelerated under oxygen atmosphere and grievously decel-

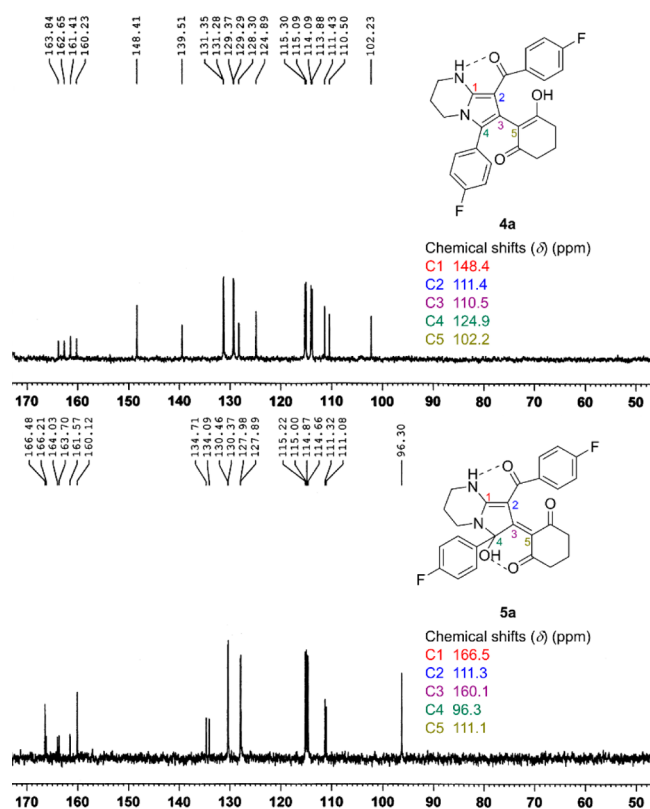


Figure 2. ^{13}C NMR spectra (100 MHz) of compounds **4a** and **5a**.

erated under nitrogen atmosphere. The absence of oxygen caused the synthesis of **5a** to fail. Accordingly, the combined experimental results presented above were highly convergent with the mechanism depicted in Scheme 2. Distinctively, it answers the questions from a macroscopic view, i.e., why did the transformation of **4** to **5** occur after the formation of **4**, and where did the oxidation take place? On the other hand, we can propose that the transformative reaction of **4** to **5** (Scheme 2, **4** \rightarrow **Int 5** \rightarrow **5**) is the most difficult, and then the irreversible transformation (Scheme 2, **Int 5** \rightarrow **5**) yielding, at last, the synthesis of **4** is the easiest (Scheme 2, **Int 5** \rightarrow **4**).

Simultaneously, to evaluate the likelihood that the transformation occurred, we carried out calculations of the possible configurations and the sum of electronic and thermal free energy (G) of **4**, **Int 5**, and **5**. We found that the most stable configuration of **4** was a 100,000 times higher in thermodynamics than the most stable configuration of **5**, and the most stable configuration of **Int 5** was close to **4** ($G_5 \ll G_4 \approx G_{\text{Int } 5}$). Therefore, this result suggests that **4** could be easily transformed to more stable products **5**. Of course, analysis of the types of changes in weak interactions occurring here could provide valuable information to further explain the origin of the greater stability of the thermodynamically controlled species **5**. Therefore, we depicted the RDG isosurface of the model compounds **4** and **5** in Figure 3. It can be observed that when the transformation is completed that the following occur: (i) The weak hydrogen bond ($\text{N}-\text{H}\cdots\text{O}$) keeps the same pattern. (ii) The weak steric effect between rings **A** and **C** turns into a van der Waals interaction. (iii) The novel hydroxyl donates a strong hydrogen bond ($\text{O}-\text{H}\cdots\text{O}$) between rings **B** and **E**. (iv) The steric effect among rings **C**, **D**, and **E** moves to the periphery of the molecular skeleton, and as a result, the greater stability of **5** mainly rests on the formation of a new intramolecular hydrogen bond as well as the dispersion and release of the steric effect among the crowded rings **C**, **D**, and **E**.

CONCLUSIONS

In summary, we have successfully developed a new three-component catalyst-free reaction for the regioselective synthesis of two libraries of highly functionalized bicyclic pyrrole derivatives via a $[3 + 2]$ cyclization of HKAs **1** with arylglyoxal monohydrates **2** and cyclohexane-1,3-diones **3** in water-ethanol media. This is the first example of the thermodynamically versus kinetically controlled preparation of fused pyrrole derivatives. The reaction was shown to have attractive features, including environmentally friendly conditions, excellent regioselectivity, and molecular diversities. Moreover, these series of bicyclic pyrroles may provide potential biological activities for medical treatment. Our further investigations into the *in vitro* biological activities of compounds **4** and **5** as well as in-depth computations to interpret the competition between thermody-

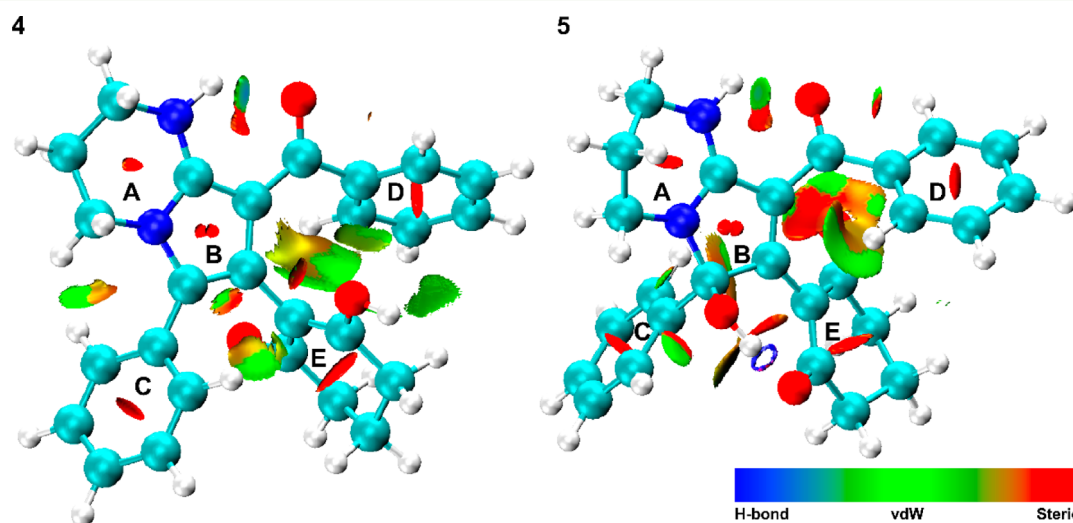


Figure 3. Reduced density gradient isosurface of model compounds **4** and **5**. The color presented in the scale bar denotes the type of weak interaction (blue = hydrogen bond, green = van der Waals interaction, brown = weak steric effect, and red = strong steric effect).

namics and kinetics by seeking possible energy profiles of the dual reaction are currently ongoing.

■ ASSOCIATED CONTENT

● Supporting Information

Spectroscopic and analytical data, as well as the original copy of ^1H and ^{13}C NMR spectra of all new compounds. Computed thermodynamic data and Cartesian coordinates. Crystal X-ray structures (CCDC 968949 and 961278) of compounds **4l** and **5b**. X-ray crystallographic data (CIF file). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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X.-B. Chen and Z.-C. Liu contributed equally.

Notes

The authors declare no competing financial interest.

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